



Agriculture and  
Agri-Food Canada



# An overview of Ochratoxin A, associated fungal species and implications for Canada's grain industry

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Canada

a) Proposed Health Canada maximum limits (MLs):

raw cereal grains*	5 ng OA/g;
directly consumer grains (i.e. rice, oats, pearled barley):	3 ng OA/g;
derived cereal products (flour**):	3 ng OA/g;
derived cereal products (wheat bran) :	7 ng OA/g;
breakfast cereals :	3 ng OA/g;
grape juice (and as ingredients in other beverages) and related products:	2 ng OA/g;
dried vine fruit (currants, raisins, sultanas):	10 ng OA/g;
baby foods and processed cereal-based foods for infants and young children :	0.5 ng OA/g
dietary foods for special medicinal purposes intended for infants:	0.5 ng OA/g

\*These levels have been established taking into consideration the reducing effect of processing or redistribution.

\*\*For bread, pastries and other flour-based foods, Health Canada considers these guidelines to pertain to the flour portion. In the future, based on further monitoring data (ongoing HC studies), the Department may consider modifying these MLs, or introduce MLs for products not yet covered, if necessary.

[www.hc-sc.gc.ca/fn-an/consult/limits-max-seuils/myco\\_consult\\_ochra-eng.php](http://www.hc-sc.gc.ca/fn-an/consult/limits-max-seuils/myco_consult_ochra-eng.php)

T. Kuiper-Goodman· C. Hilts, S. M. Billiard, Y. Kiparissis, I. D. K. Richard, S. Hayward. 2010. Health risk assessment of ochratoxin A for all age-sex strata in a market economy. Food Additives and Contaminants 27: 212–240.

# Overview

- Historical background
  - The toxin and the moulds
- Biology and taxonomy of *Penicillium* and *Aspergillus*
  - Development of modern species concepts
    - Mycotoxins
  - DNA based identification
- Ochratoxin A in Canada and internationally
  - Storage issues
- Future directions



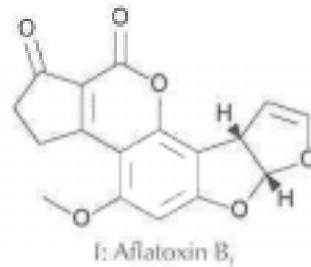
*Penicillium*



*Aspergillus*

# *Aspergillus flavus*

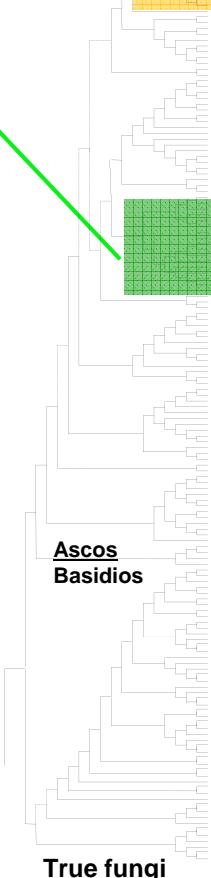
the aflatoxin mould





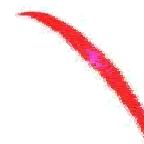
## *Penicillium*

- ~300 known species
  - > 1000 expected
- **Storage fungi**
- **Dry, electrostatic spores**
- Diverse mycotoxins
  - Ochratoxin A
  - Patulin
  - Griseofulvin



## *Fusarium*

- ~150 known species
  - > 750 expected
- **Field fungi, plant pathogenic**
- **Slimy spores**
- More uniform mycotoxins
  - Trichothecenes
  - Zearalenone
  - Fumonisins



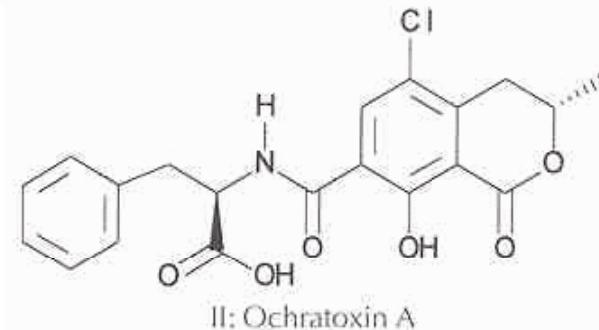
# Biology of *Penicillium* and *Aspergillus*

- The quintessential moulds
- Common agents of biodeterioration in soil, wood, dung and man-made commodities
- Talented producers of secondary metabolites
  - High 'creativity index'
- Plant diseases, especially spoilage during storage
- Common in indoor air, causing allergies
- Ecological significance possibly over-estimated
  - ability to grow on standard microbiological media
- ***P. roqueforti* makes 1–10 billion spores per Petri dish**



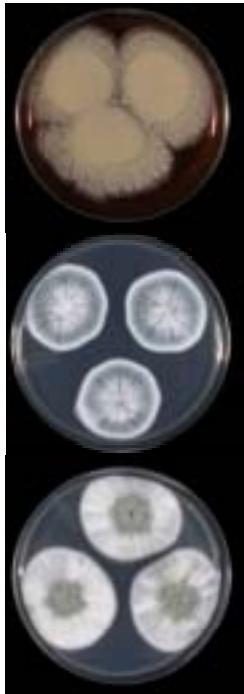
# Ochratoxin A

- A fungal toxin
- Abbreviations: OTA or OA
- Regulated in North America and Europe for many years
- Kidney cancer in humans
  - Group 2B *possible* human carcinogen
    - International Agency for Research on Cancer (IARC)
- Found in grains, in particular barley, oats and beer, and other commodities
- Detectable in blood sera of all Canadians
- Terrible confusion about what fungal species produce the toxin
  - Misidentification of moulds
  - Misidentification of toxins





# *Penicillium* as the taxonomist's nightmare



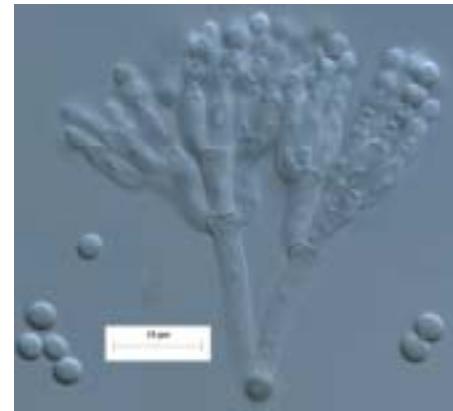
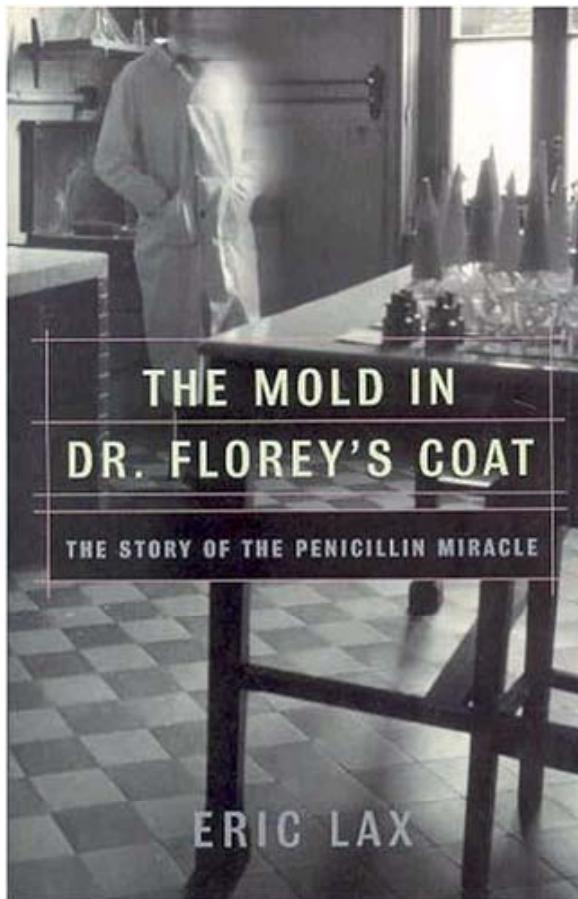
"Alive and actively growing, they have individuality as pronounced as their capacities for evil, but that individuality, color, odor, and habit or growth are evanescent as frost designs on a window pane in winter."

**Charles Thom 1930**

# *Penicillium chrysogenum*



The penicillin mould





# *Penicillium expansum*

Blue mould of apple





# *Penicillium camembertii*

the Brie mould



# *Penicillium roqueforti*

the blue cheese mould





# *Penicillium roqueforti*

the blue cheese mould



## Major Mycotoxins

- Mycophenolic acid
  - PR-toxins
  - Roquefortine C

Photos Rob Samson

# *Penicillium* history



- 1809      Described by Link
  - 1930      Monograph by Thom
  - 1949      Monograph by Raper & Thom
  - 1979      Monograph by Pitt
- 1980s      Secondary metabolites & isozymes
- 1990s      DNA sequencing
- 2000s      Multigene phylogenies, molecular diagnostics
- 2010s      Genomics
- } Microscopy  
and culturing





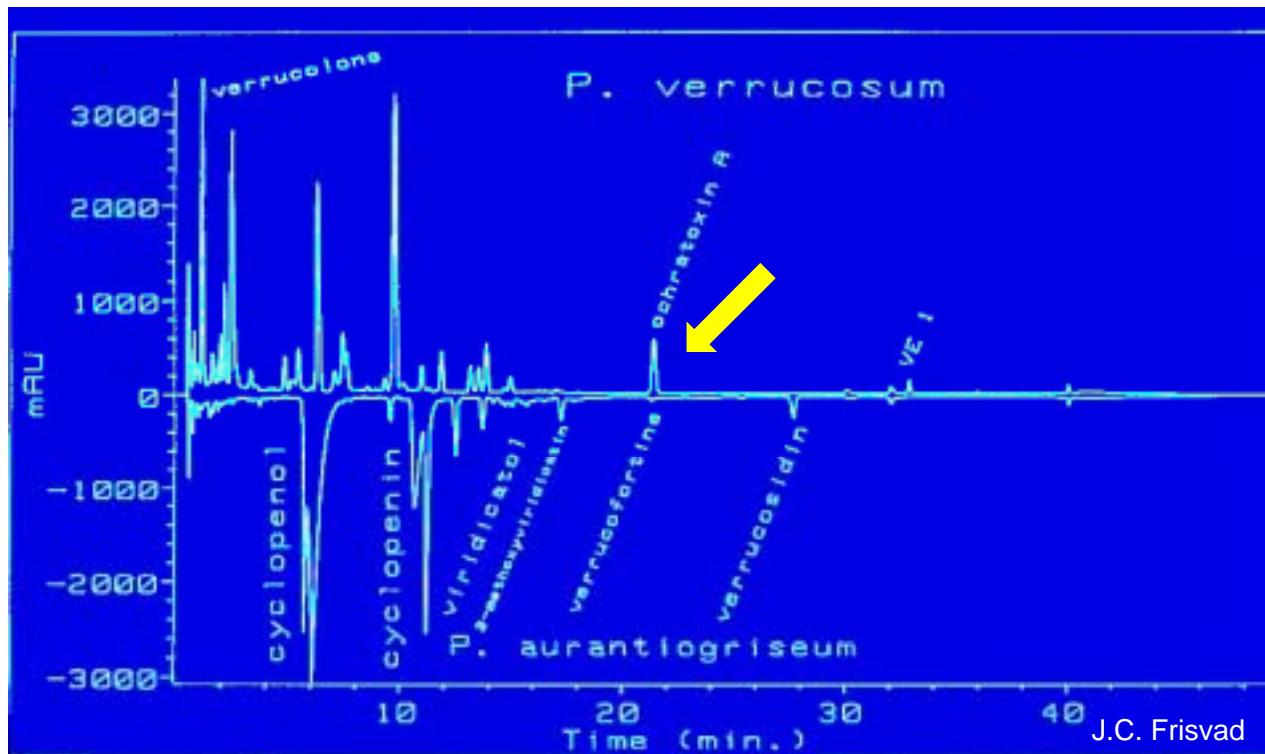
# Modern species concepts (1)

- Mid-1980s,
  - Jens Frisvad *et al.*, Denmark
- Hypothesis
  - Species have constant, reproducible secondary metabolite profiles
- Secondary metabolite profiling
  - Thin layer chromatography
  - then HPLC
  - then GC-MS
  - then LC-MS
- Result
  - Division of existing morphological species
  - Identification using mycotoxin profiles



# *Penicillium*, *Aspergillus* & secondary metabolites

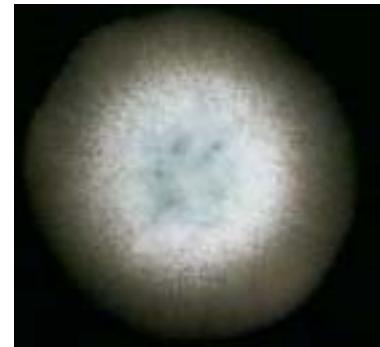
- Produce all major groups of secondary metabolites
  - ~ 60-100 secondary metabolites per species





## Ochratoxin A production in *Penicillium*

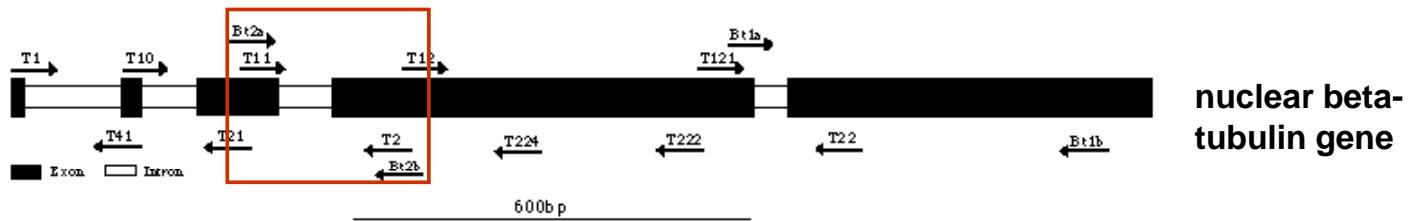
- *Penicillium viridicatum* var. *cyclopium* (PVC)
- *Penicillium viridicatum* chemotype
- ***Penicillium verrucosum***
  - Divided into 2–3 species
    - *P. verrucosum* – grains
    - *P. nordicum* – meat
    - *P. lumbii* – dried fish (not described)
- **All claims of ochratoxin A production in other *Penicillium* species are unproven**

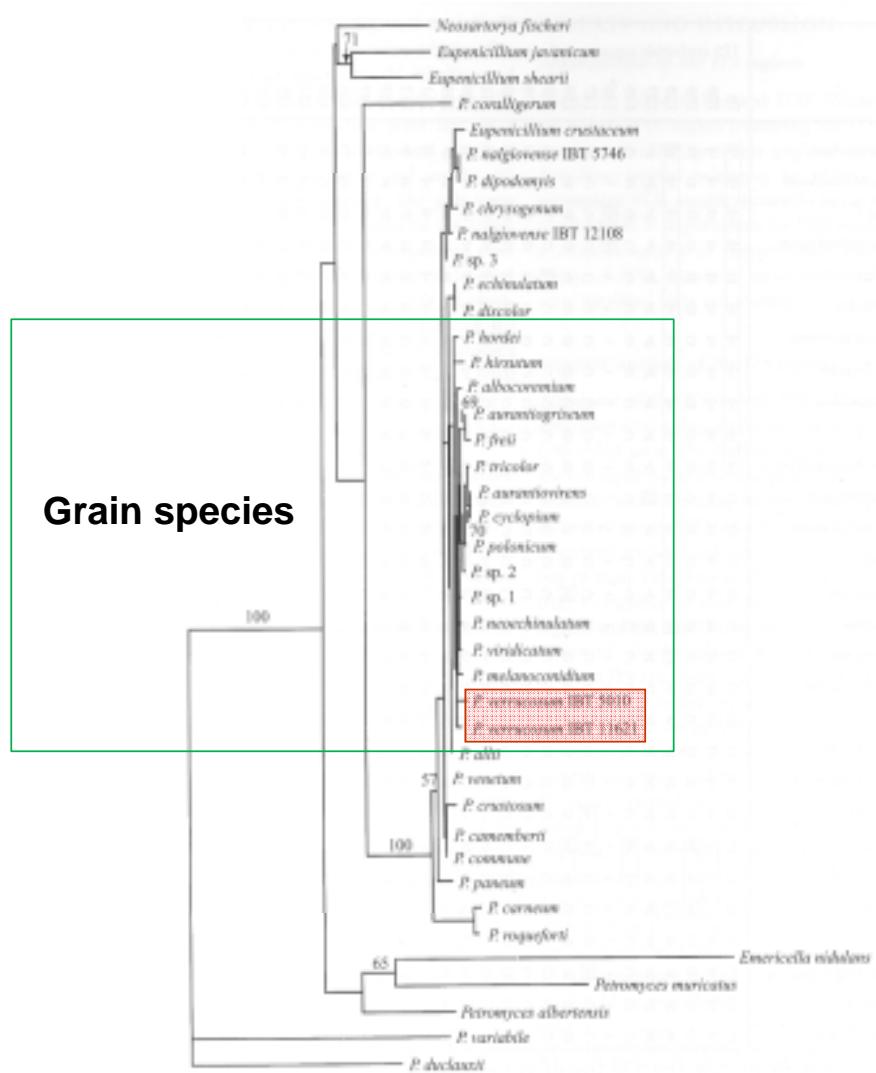




## Modern species concepts (2)

- Early 1990s
- DNA-based taxonomy
  - Ribosomal operon sequencing (ITS, LSU)
    - Peterson, USDA, Peoria
  - Protein gene sequencing
    - Seifert lab, Canada
- Good (but not perfect) correlation of phylogenetic species with mycotoxin groups





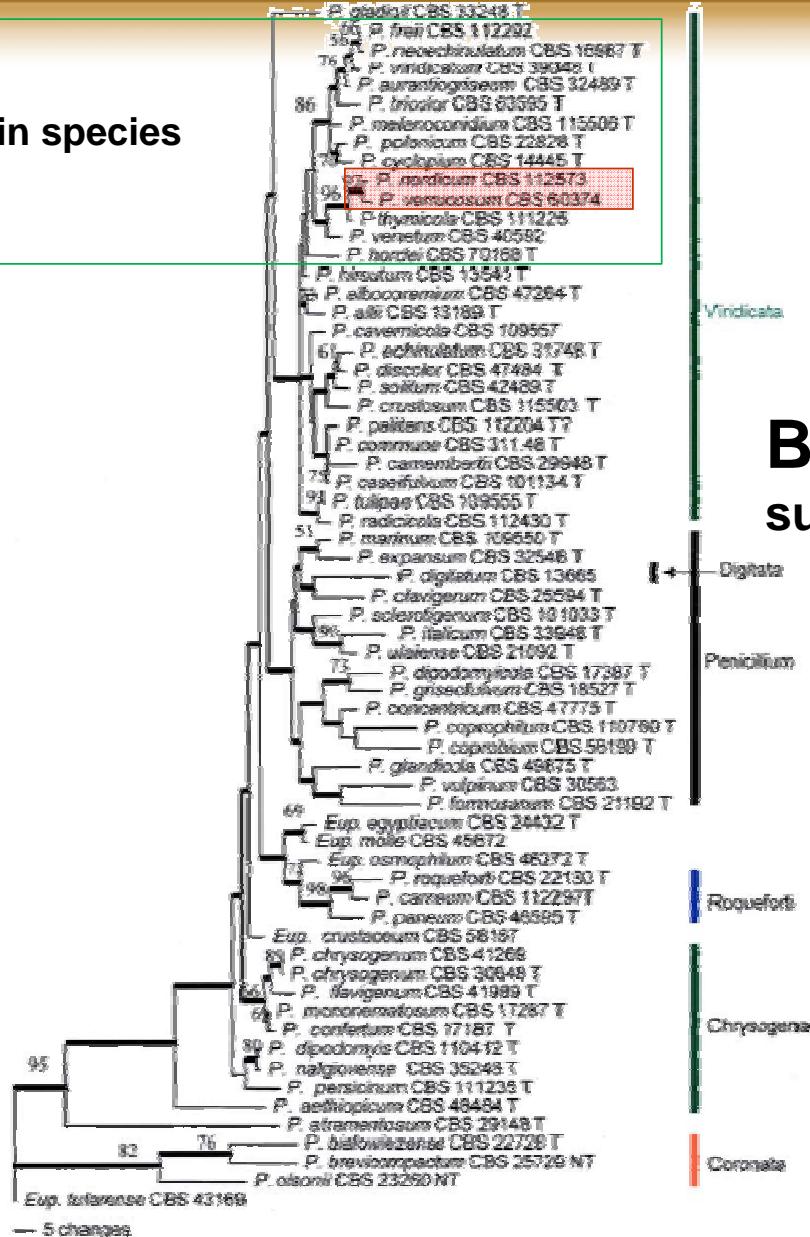
# ITS Gene Tree

## subg. *Penicillium*

- Variable sites ~ 20%
  - DNA barcoding

P Skouboe, JC Frisvad, JW Taylor, D Lauritsen, M Boysen, L Rossen. 1999. Phylogenetic analysis of nucleotide sequences from the ITS region of terverticillate *Penicillium* species. Mycological Research 103: 873–881.

## Grain species

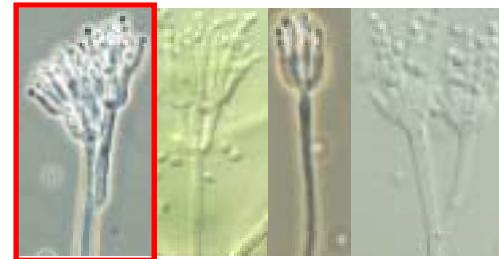
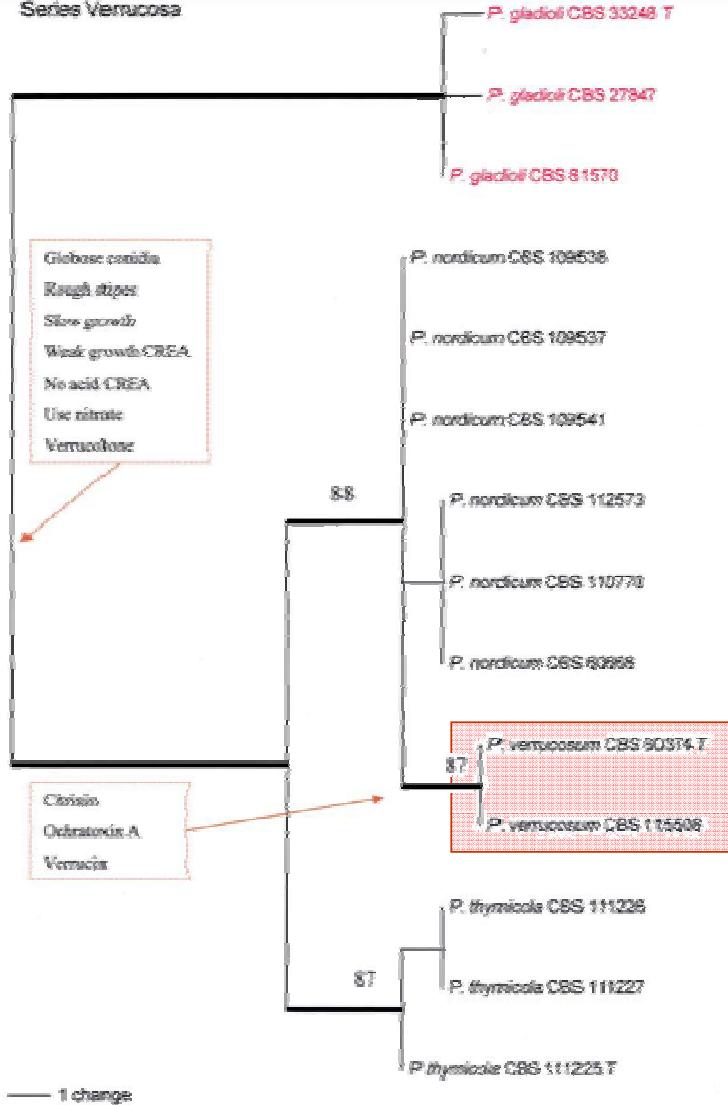


## Beta-tubulin Gene Tree subg. *Penicillium*

- Variable sites ~ 66%

RA Samson, KA Seifert, AFA Kuijpers, JAMP Houbraken, JC Frisvad JC, 2004. Phylogenetic analysis of *Penicillium* subgenus *Penicillium* using partial beta-tubulin sequences. *Studies in Mycology* 49: 175–200.

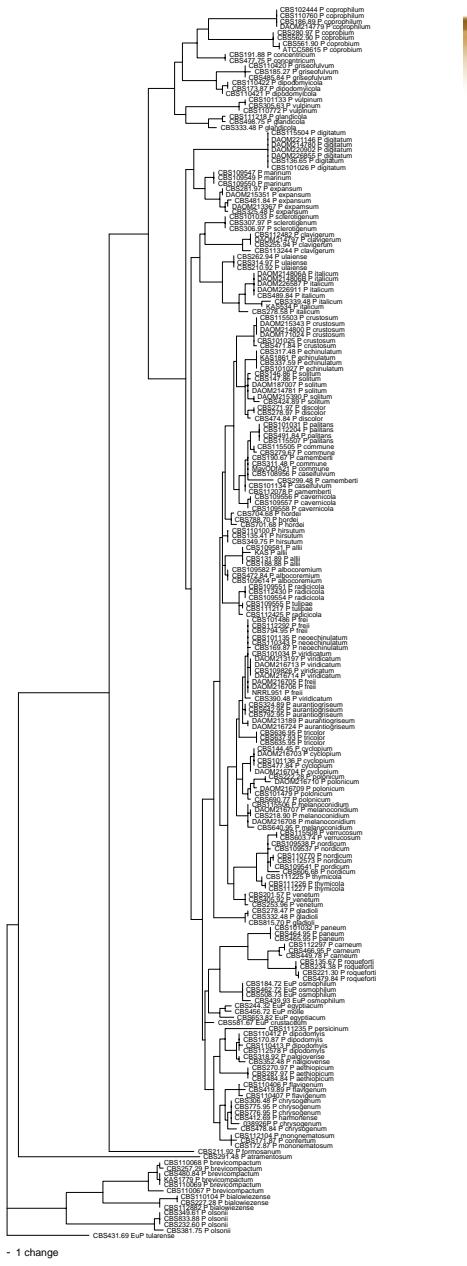
Section: *Viridicata*  
Series: *Verrucosa*



## Beta-tubulin Gene Tree subg. *Penicillium*, Sect. *Viridicata*, Ser. *Verrucosa*

### Ochratoxin A group

RA Samson, KA Seifert, AFA Kuijpers, JAMP Houben, JC Frisvad JC, 2004. Phylogenetic analysis of *Penicillium* subgenus *Penicillium* using partial beta -tubulin sequences. Studies in Mycology 49: 175–200.



# Molecular Assay Development from beta-tubulin sequences



## **Successes**

### *P. roqueforti* complex (3 spp.)

## Citrus penicillia (4 spp.)

## *P. brevicompactum* complex (3 spp.)

## Assays design failed

## *P. aurantiogriseum* complex (5 grain spp.)

## *P. camemberti* complex

- *P. commune*
  - *P. camemberti*
  - *P. caseifulvum*
  - *P. palitans*

## ***P. verrucosum* complex**

- #### **– ochratoxin A**

## *P. chrysogenum*

- penicillin, IAQ

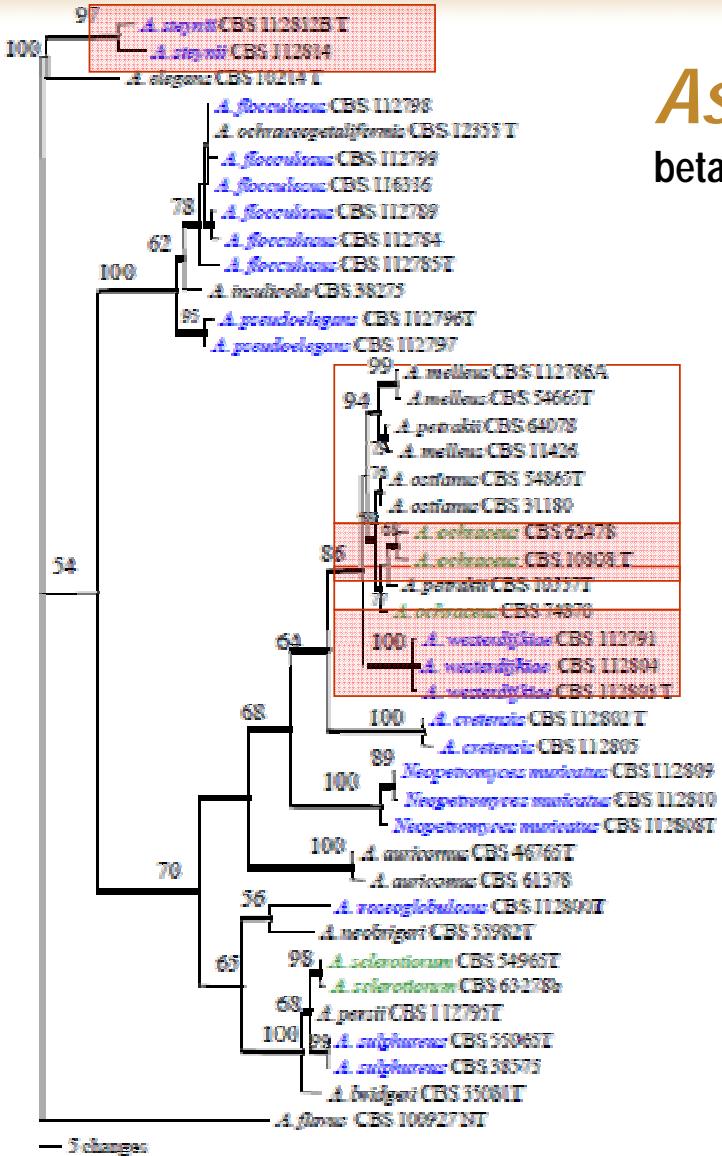
*P. expansum*

- apples, patulin

Seifert et al. unpublished

# The changing ochratoxin A landscape

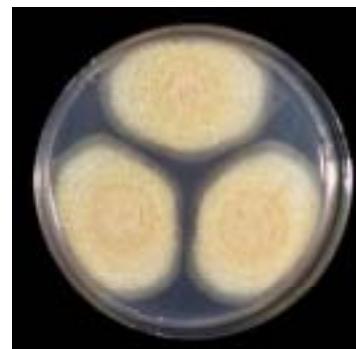
- Historical concerns in Europe
  - Pork products
  - Beer
- High OTA human blood titers
- High endemic kidney cancers
  - Balkans
- High OTA levels in wine and coffee
  - increased scrutiny of imports



# *Aspergillus ochraceus* complex

## beta-tubulin gene tree

OTA group



J.C. Frisvad, J..M. Frank, J.A.M.P. Houbraken, A.F.A. Kuijpers, R.A. Samson. 2004. New ochratoxin A producing species of *Aspergillus section Circumdati*. Studies in Mycology 50: 23-43.

# *Aspergillus niger* complex

beta-tubulin gene tree

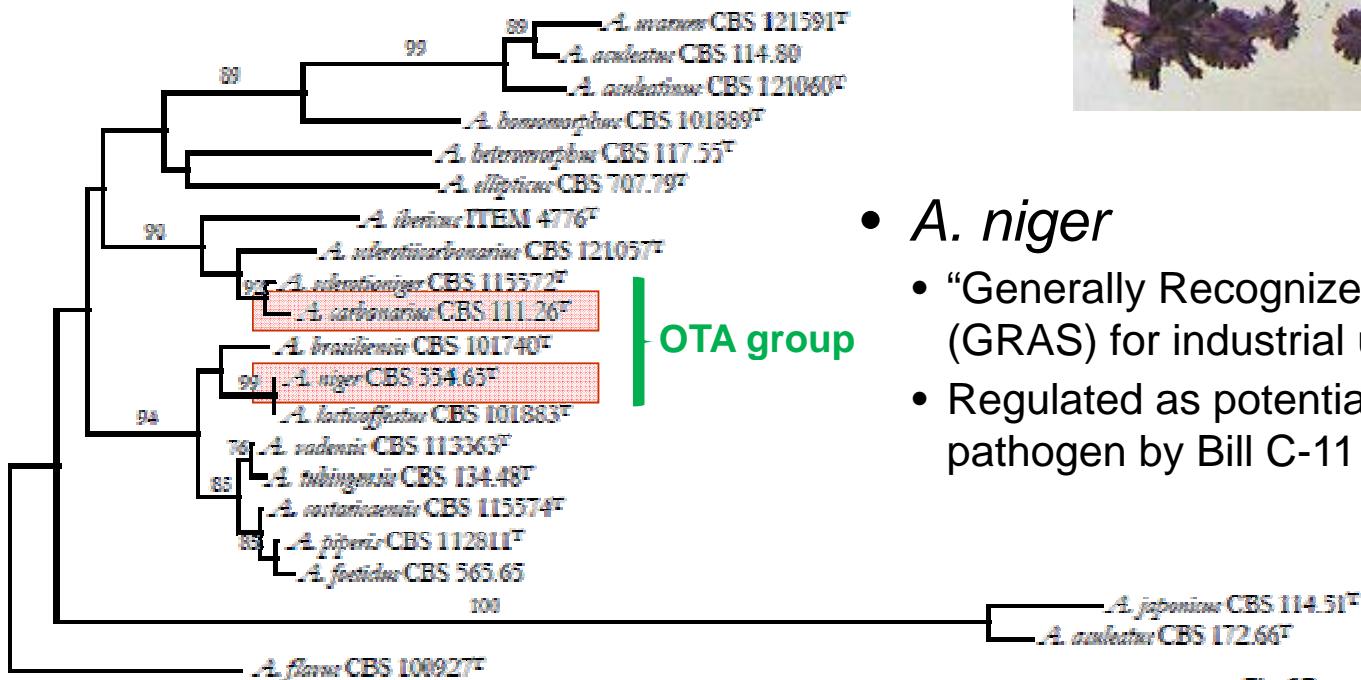


Fig. 9B.

R.A. Samson, P. Noonim, M. Meijer, J. Houbraken, J.C. Frisvad and J. Varga. 2007. Diagnostic tools to identify black aspergilli. Studies in Mycology 59: 129-145.

# Determination of ochratoxin A in wine and grape juice

## Source and number of samples

Country	Year of analysis	Red wine	White wine	Red grape juice	White grape juice
Algeria	2001–02	1(1)a			
Canada	1999–2000	15(1)	16(6)	24(3)	12(1)
	2000–01	6(1)	6	–	–
	2001–02	15(1)	21(1)	13	7
Cyprus	1999–2000	–	1(1)	–	–
England	1999–2000	–	–	1	–
France	1999–2000	3(1)	1	–	–
	2001–02	6	6	–	–
Greece	1999–2000	7(6)	9(6)	–	–
	2000–01	3(2)	4(3)	–	–
Italy	1999–2000	3(3)	3(1)	–	–
	2000–01	6(4)	10(2)	–	–
Spain	1999–2000	1(1)	2	–	–
	2000–01	6(3)	4(1)	–	–
Turkey	2000–01	1(1)	1(1)	–	–
USA	1999–2000	–	–	1	2
	2001–02	11	12	7	4
Total number > LOQ	1999–2002	25	22	3	1
Grand total	1999–2002	84	96	46	25

Table 1 from: W. Ng, M. Mankotia, P. Pantazopoulos, Introduction R. J. Neil and P. M. Scott. 2004. Ochratoxin A in wine and grape juice sold in Canada. Food Additives and Contaminants, 21: 971–981.



Searching for *Aspergillus carbonarius* in southern Ontario

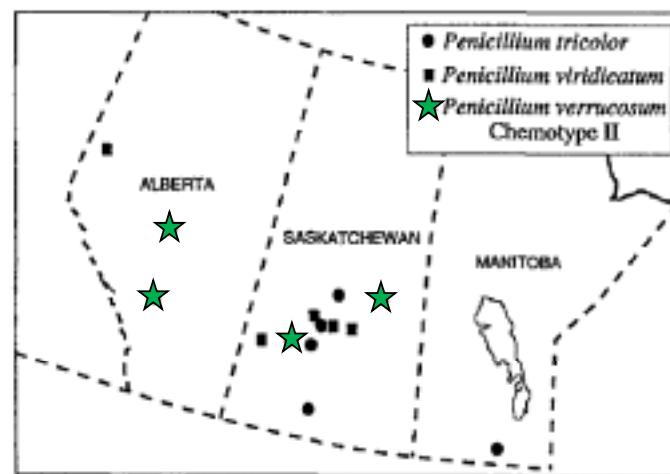
# *Penicillium verrucosum* in Canada



Table 1. *Penicillium* species isolated from cereal grains delivered to primary elevators from farms in western Canada

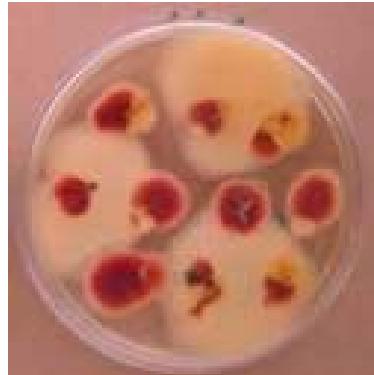
<i>Penicillium</i> species	No. of isolates			
	Manitoba	Saskatchewan	Alberta	Total
<b>Nephrotoxigenic species</b>				
<i>P. aurantiogriseum</i>	14	35	12	61
<i>P. freii</i>	18	4	5	27
<i>P. tricolor</i>	1	5		6
<i>P. verrucosum</i>		2	2	4
<b>Chemotype II</b>				
<i>P. viridicatum</i>		3	1	4
<b>Non-nephrotoxigenic species</b>				
<i>P. aurantiovirens</i>			3	3
<i>P. chrysogenum</i>	1	2	1	4
<i>P. corylophilum</i>	1			1
<i>P. crateriforme</i>		1	2	3
<i>P. griseofulvum</i>	16	11	2	29
<b>Total</b>	<b>51</b>	<b>63</b>	<b>28</b>	<b>142</b>

- *P. verrucosum* grows slowly
- Overgrown by other species
- Many other *Penicillium* species in grain



J.T. Mills, K.A. Seifert, J.C. Frisvad, D. Abramson. 1995. Nephrotoxigenic *Penicillium* species occurring on farm-stored cereal grains in western Canada Mycopathologia 130: 23-28.

# Ecology of *Penicillium verrucosum*



DYSG  
Dichloran Yeast Extract  
Sucrose 18% Glycerol agar

- Presently no molecular assay
- Monitored with selective medium
  - laborious
  - low sensitivity
- In Denmark
  - Irregular distribution in fields and soils (0-300 CFU/g soil)
  - Sporadic recovery from harvested grain
  - Hot spots in stored grain
    - related to moisture, temperature

S. Elmholt. 2003. Ecology of the ochratoxin A producing *Penicillium verrucosum*: Occurrence in field soil and grain with special attention to farming system and on-farm drying practices. Biological Agriculture and Horticulture, 20, pp. 311-337.

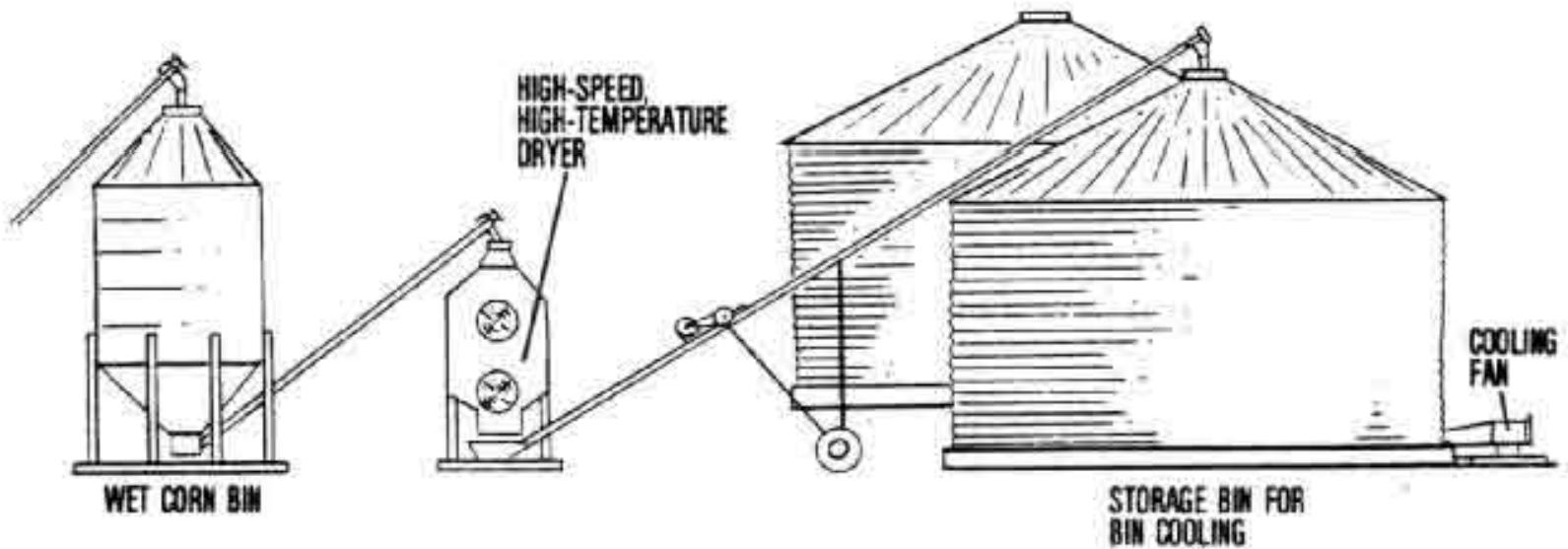
# Factor affecting ochratoxin-A during storage

Good Storage Practice	Impact
<u>Good Store Design and Condition</u> Ensure stores are well designed and maintained	High
<u>Good Harvest and Store Hygiene</u> Clean harvest and store machinery	High
<u>Timely Harvest</u> Service and maintain equipment	High
<u>Adequate Drying Capacity</u> Ensure adequate drying capacity is available	High
<u>Rapidly Dry Grain</u> Rapidly dry grain to below 18% moisture content	High
<u>Rapidly Cool Grain</u> Rapidly cool grain to below 15°C	High
<u>Continued Drying</u> Dry to 15% moisture content for long-term storage	Medium
<u>Continued Cooling</u> Cool grain in winter months to below 5°C	Medium
<u>Monitor Temperature and Moisture Content</u> Continue monitoring temperature and moisture content	Low
<u>Monitor Insect and Mite Activity</u> Use traps and sieving to monitor insects and mites	Low

UK Food Safety Agency. 2007. The UK Code of Good Storage Practice to Reduce Ochratoxin A in cereals.



A. Schaafsma



Good store hygiene

Timely harvest

Ensure adequate drying capacity; rapidly dry and cool grain

Carry out continued drying and cooling

Monitor temperature, moisture content and insect activity



Storage Time

## Ochratoxins: A global perspective

Paul Bowman<sup>1</sup> & James L. Baker<sup>2</sup>

<sup>1</sup>Departamento de Biología, Universidad de Puerto Rico-Río Piedras, P.O. Box 23336, San Juan, PR 00931, USA;

<sup>2</sup>USDA-ARS Western Regional Research Center, 800 Buchanan St., Albany, CA 94718, USA

### Abstract

Ochratoxins have been overshadowed by better-known mycotoxins, but they are gaining importance. Here we consider ochratoxins in the context of fumonisins, which are better understood than ochratoxins at many levels. We review recent work on ochratoxins: distribution, contamination of commodities, biosynthesis, toxicity and regulatory aspects of ochratoxins. We focus on ochratoxins in one key commodity in ochratoxin research and regulation.

**Key words:** Aspergillus, coffee, food safety, mycotoxin, ochratoxin, Penicillium

### What are ochratoxins?

Ochratoxins are fungal secondary metabolites produced by several species of *Aspergillus* and *Penicillium*. They consist of a purine moiety and a phenylalanine moiety linked by an amide bond [1]. Ochratoxin A (OTA) is chlorinated, which is unusual for natural products (Figure 1). Ochratoxin B, which is not chlorinated, and ochratoxin C, the ethyl ester of OTA, are less toxic and less common [1]. Most studies on ochratoxins have therefore focused on OTA.

### Present and past importance of ochratoxins

In January 2006, the owner of a major Italian grain mill was arrested and charged with importing 58,000 tonnes of Canadian wheat contaminated with 15 µg/kg OTA [2]. The wheat was milled and sold to food processors. Consumers and food processors were disturbed by the news, but human exposure to ochratoxins is nothing new. There is circumstantial evidence for involvement of mycotoxins, including ochratoxins, in historical events, though the evidence for ochratoxins is not as conclusive as for other alkaloids.

Mortality rates due to the ochratoxins in the USOs. The mortality rates attributed to imports are low, but there is also consumption figures, are less susceptible to the wheat, both of which are suspect especially under the conditions [1, 3]. Also, it is not known when the mycotoxin formation.

Several ochratoxins Egyptian tombbed unexplained causes. It cause of death was a inhalation of spores.

These are documented

in the following

death theory [3]

and the following

inhalation of spores

in the following

in



Canadian Food  
Inspection Agency

Agence canadienne  
d'inspection des aliments



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Users should note that the products listed in the archive have been subject to removal from the marketplace or appropriate corrective action. Food recalls or allergy alerts are not an indication of the food safety status of products produced at a later date.

## HEALTH HAZARD ALERT

### HEINZ MIXED CEREAL FOR BABIES MAY CONTAIN OCHRATOXIN A (OTA)

OTTAWA, December 10, 2009 - The Canadian Food Inspection Agency (CFIA) and Heinz Canada are warning the public not to consume the Heinz Mixed Cereal for babies described below as it may contain elevated levels of Ochratoxin A (OTA).

The following product is affected by this alert:

Heinz Mixed Cereal, a Baby Cereal, Stage 2, From 6 Months

227 g

UPC 0 57000 02516 8

Codes:

BB/MA 10 DE 26 and

BB/MA 10 DE 29

The best before date codes are located on the top left hand corner of the package.



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## Food and Nutrition

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### 2010 Call for Data on Ochratoxin A

#### Background

Ochratoxin A (OTA) is a toxic fungal metabolite that causes nephrotoxic, teratogenic, immunosuppressive and carcinogenic effects in a number of animal species. It has also been implicated in the development of a chronic kidney disease in humans known as Balkan Endemic Nephropathy. OTA occurs naturally in low concentrations in many foods, such as cereal-derived staples as well as other food commodities including grapes, raisins, wine, coffee and beer.

On August 29<sup>th</sup>, 2009 Health Canada's Bureau of Chemical Safety (BCS), Food Directorate, sent pre-consultation letters to targeted stakeholder groups requesting input on Health Canada's proposed maximum limits for ochratoxin A (OTA) in foods. On March 2, 2009, BCS webposted its [Information Document on Health Canada's proposed Maximum Limits \(Standards\) for the Presence of the Mycotoxin Ochratoxin A in Foods](#) on Health Canada's Food Safety website, requesting further comments on this proposal from the larger stakeholder community. Comments were accepted until 12:00 a.m. EDT on June 1<sup>st</sup>, 2009.

On December 9, 2009, the Bureau of Chemical Safety's 'Health risk assessment of ochratoxin A for all age-sex strata in a market economy' was published on-line in the *Journal Food Additives and Contaminants* (Volume 27, Issue 2, February 2010, pages 212-240). The article was made publicly available by Health Canada through its website in April 2010.

[www.hc-sc.gc.ca/fn-an.../securit/chem-chim/toxin-natur/call-demande-eng.php](http://www.hc-sc.gc.ca/fn-an.../securit/chem-chim/toxin-natur/call-demande-eng.php)

Information Document on Health Canada's  
Proposed Maximum Limits (Standards) for the  
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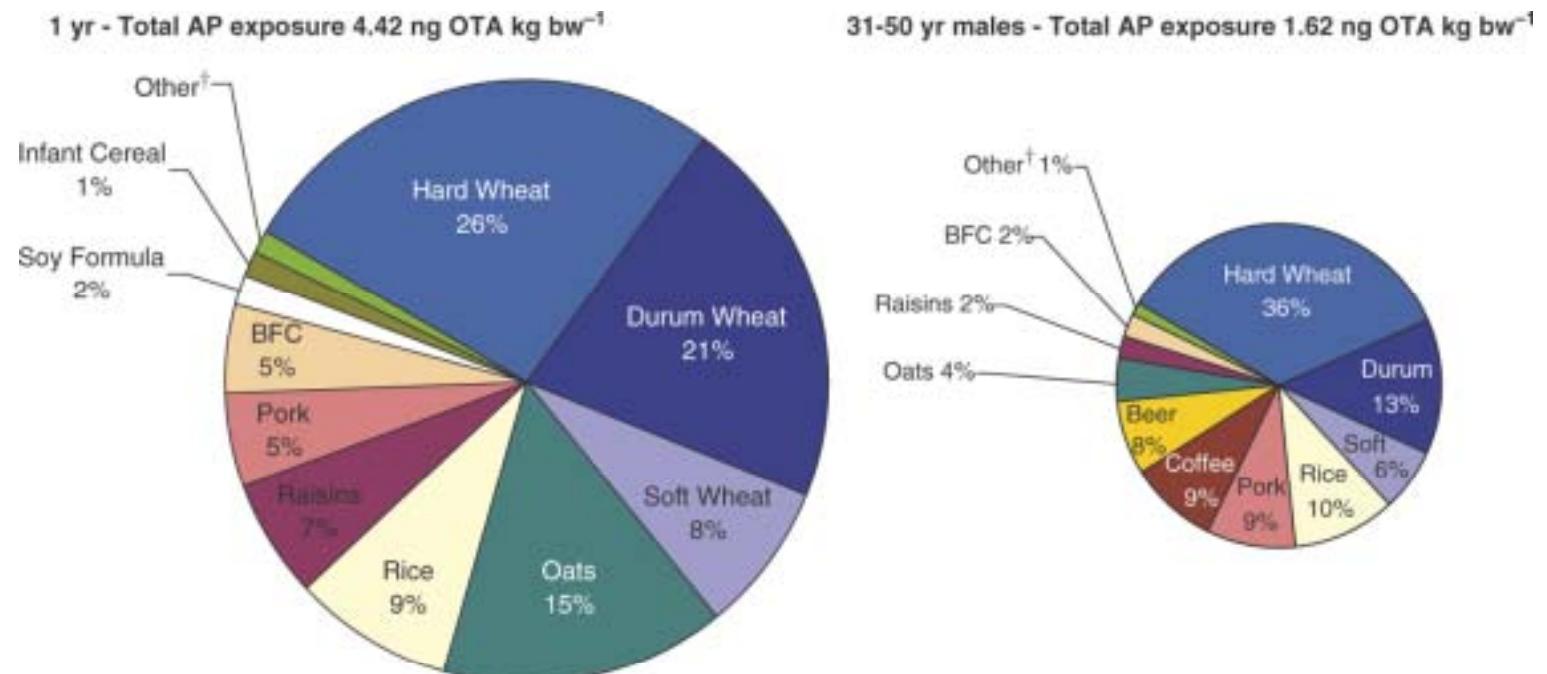
Bureau of Chemical Safety  
Food Directorate  
Health Products and Food Branch

Revised - February 2009



[... /consult/limits-max-seuils/myco\\_consult\\_ochra-eng.php](http://.../consult/limits-max-seuils/myco_consult_ochra-eng.php)

# Ochratoxin A exposure by commodity



† Commodities contributing less than 1% to the total AP exposure to OTA are included in the 'Other' category. For the 1 yr olds, this includes foods such as Barley, Infant Strained Food, Infant Biscuits, etc. For the 31–50 yr old males, this includes commodities such as Wine, Grape Juice, Peas, etc.

T. Kuiper-Goodman· C. Hilts, S. M. Billiard, Y. Kiparissis, I. D. K. Richard, S. Hayward. 2010. Health risk assessment of ochratoxin A for all age-sex strata in a market economy. *Food Additives and Contaminants* 27: 212–240.

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dried vine fruit (currants, raisins, sultanas):	10 ng OA/g;
baby foods and processed cereal-based foods for infants and young children :	0.5 ng OA/g
dietary foods for special medicinal purposes intended for infants:	0.5 ng OA/g

\*These levels have been established taking into consideration the reducing effect of processing or redistribution.

\*\*For bread, pastries and other flour-based foods, Health Canada considers these guidelines to pertain to the flour portion. In the future, based on further monitoring data (ongoing HC studies), the Department may consider modifying these MLs, or introduce MLs for products not yet covered, if necessary.

[www.hc-sc.gc.ca/fn-an/consult/limits-max-seuils/myco\\_consult\\_ochra-eng.php](http://www.hc-sc.gc.ca/fn-an/consult/limits-max-seuils/myco_consult_ochra-eng.php)

T. Kuiper-Goodman· C. Hilts, S. M. Billiard, Y. Kiparissis, I. D. K. Richard, S. Hayward. 2010. Health risk assessment of ochratoxin A for all age-sex strata in a market economy. Food Additives and Contaminants 27: 212–240.



## The way forward

- Robust identification of mycotoxigenic fungi and mycotoxins
  - Detection and identification of moulds without culturing
    - Real-time PCR assays from genomic studies
    - Cheaper, higher throughput, accurate mycotoxin assays
- Ecological and 'epidemiological' studies
  - Amplification of low incipient contamination from soil or in storage bins is probably a significant issue
- Robust Canadian surveys for the fungus and the toxin
  - Keeping in mind the possibility of a role for *A. ochraceus*
- Continued collaboration among the grain industry, plant breeders, and researchers





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